

DSP Securities, LLC

CUSTOMER IDENTIFICATION PROGRAM DISCLOSURE

Important Information About Our Identification Program

- To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person with whom DSP Securities, LLC does business.

- What this means for you: When become a client or potential investor, we will ask certain identifying information about your company and its associated owners, directors and key decision makers. In most circumstances we will need a copy of a driver's license from the top 3 senior managers and our primary contact for your company. Additional information may be required on a fact specific basis.

Rule: 31 C.F.R. §103.122(g).